



GUIDELINES FOR COMPLETING THE CORPORATE ACCOUNT OPENING FORM

Section 1 – Company Details

Please fill in all of the questions as it will ensure a faster account opening process.

Section 2 – Accounts Required

Section 3 – Services Required

Section 4 – Operating Your Account

Section 5 – KYC (Know Your customer) Information

We are required as part of our legal and regulatory responsibilities to identify the status, understand the nature of business and expected main activity profile of the company, including the source of wealth, source of funds, source of income and location of customers.

This is not an exhaustive list. We may request you to submit further information before we can consider processing your account opening application.

Section 6 – List of Directors and Shareholders

Please provide us with the details of all Directors of the company and Shareholders, holding the following percentage of the company share capital:

- 10% or more (for non-UK incorporated company)
- 20% or more (for a UK or EU incorporated company).

Section 6a – Person(s) of Significant Control

Section 7 – Appointment of Bankers and Mandate

Section 8 - Personal Details (Director, Shareholder, Beneficial Owner Signatory, Secretary, e-banking User)

This section must be completed by all individuals listed in Section 6 and Section 7 including any other individuals that have been assigned e-banking access. Please make as many copies as required.

Section 9 – Telephone, Email and Facsimile Instructions Form

Section 10 – GeNS

Section 11 – FATCA Declaration

Section 12 – Customer Declaration

The Terms and Conditions supplied with this application must be read before signing the Declaration. The Declaration is to be signed by either two Directors of the company or by one Director and the Secretary.

Section 13 – GDPR Consent Statement

Section 14 – Non-UK Corporate - Bankers' Reference Form

The Bankers' Reference form included in this application form is a confirmation of the company's details. Part A must be completed by the company and sent to your existing bankers. They will fill in Part B and send the form directly to us or to one of our Liaison Desks closest to them (see section 13 for addresses).

Please ensure that the Bankers' Reference form is signed according to the current company Mandate with your existing bankers.

Section 15 – Non-UK Resident Directors Only Bankers' Reference Form

Section 16 – Submitting Your Account Opening Form

Please do not send any original documents to us by post. Guaranty Trust Bank (UK) Limited will not be liable for the loss or damage to any original documents in the post. Account Opening Forms can be submitted in person at our offices at 62 Margaret Street, London W1W 8TF or sent to one of our Liaison Desks closest to you (for Non-UK companies). **CHECK LIST**

Please use the check list below to ensure we have all the necessary information needed to open your account. **UK INCORPORATED COMPANIES**

- 1 Duly completed account application form.
- 2 Original or certified true copy* of certificate of Incorporation.
- 3 Original or certified true copy* of Memorandum and Articles of Association.
- 4 List of all shareholders and beneficial owners of the company.
- 5 Duly completed Appointment of Bankers and Mandate form, listing the authorised signatories to the account(s). This form is enclosed in the account opening package.
- 6 Latest financial statements and original or certified copy* of the latest 6 months' bank statements from the company's current bankers.
- 7 Evidence of identity and proof of address of all authorised signatories of the account(s).
- 8 Evidence of identity and proof of address of all significant shareholders and the beneficial owners of the company. All shareholders holding equivalent to more than 20% share in the company are defined as significant shareholders as per the bank's policy.
- 9 Evidence of identity and proof of address of at least 3 Directors (where the company has more than 3 directors) one of whom must be the Managing director or equivalent. The documents required as listed as mentioned below.

For Identity:

The Original or certified true copy* of any one of the following identification documents:

- Valid international passport or
- Valid UK photo card driving licence (full/provisional)

For Address:

The original or certified true copy* of any one of the following:

- Recent council tax demand letter
- Valid photo card driving license (full/provisional), if not already used as proof of identity
- 3 complete months bank statements (not more than 6 months old)
- 3 complete months credit card statements (not more than 6 months old)
- Current utility bill (issued within the last 3 months), excluding mobile phone bills and copies printed off the internet

NON-UK INCORPORATED COMPANY

1. Completed account opening form
2. Original or certified true copy* of Certificate of Incorporation.
3. Original or certified true copy* of Memorandum and Articles of Association.
4. Latest financial statement and original or certified copy of 6 month bank statement (not more than 6 months old) from the company's current banker. A completed Bankers' Reference Form.
5. Duly completed Appointment of Bankers and Mandate Form listing the authorised signatories to the account(s). This form is enclosed in the account opening package.
6. Original or certified copy* of the relevant document from the relevant government company registry confirming who are the current directors of the company (in Nigeria this is the CAC Form CO7).
7. Original or certified copy* of the relevant document from the relevant government company registry confirming who are the shareholders of the company (in Nigeria this is the CAC Form CO2).
8. Evidence of identity and proof of address of all authorised signatories of the account.
9. Evidence of proof of address of all significant shareholders and the beneficial owners of the company. All shareholders holding equivalent to more than 10% share in the company are defined as significant shareholders as per the bank's policy.
10. Evidence of identity and proof of address of at least 3 directors (where the company has more than 3 directors) one of whom must be the Managing Director or equivalent.

The documents required are listed below:

For Identity:

The original or certified true copy* of a valid international passport.

For Address:

The original or certified true copy* of the following identification documents:

- Completed Bankers' Reference form included in this application form. Part A must be completed by the company and sent to your existing bankers. They will fill in Part B and send the form directly to us or to one of our Liaison Desks closest to them. (see back of reference form for addresses) Please ensure that the Bankers' Reference form is signed in accordance to the mandate with your existing bankers.
- Recent council tax demand letter
- Valid photo card driving license (full) with paper counterpart, if not already used as proof of identity
- 6 complete months bank statements (not more than 6 months old)
- 6 complete months credit card statements (not more than 6 months old)
- Current utility bill (issued within the last 3 months), excluding mobile phone bills and copies printed off the internet

* Copies of documents must have been certified (stamped and signed) as a true copy of original by a Solicitor, Notary Public or your current bankers within the last 3 months.

ACCOUNT OPENING APPLICATION FORM – CORPORATE ACCOUNT

Section 1. Company Details

Company Registered Name: _____

Trading Name (if any): _____

Company Registration Number: _____

Date of Registration: _____

Country of Registration: _____

Company Type: _____

Nature of Business/Business Activity: _____

Company Secretary: _____

Number of Employees: _____

Registered Address: _____

Post Code/Zip Code: _____ Country: _____

Business Address: _____

Post Code/Zip Code: _____ Country: _____

Correspondence Address:
(if different to business address)

Post Code/Zip Code: _____ Country: _____

Contact Details:

Telephone Number: (country code area): _____

Mobile Number: (country code area): _____

Fax Number: (country code area): _____

e-mail: _____

Web Site: _____

Details of Accountants:

Name: _____

Address: _____

Post Code/Zip Code: _____ Country: _____

Telephone: _____ Fax Number: _____

e-mail: _____ Web Site: _____

Details of present Bankers (if applicable):

Name: _____

Address: _____

Post Code/Zip Code: _____ Country: _____

Sort Code: _____ Account Number: _____

Section 2. Accounts Required

Please select the accounts you would like below:

GTBank Corporate Current Account GBP*

* Account Maintenance Fees of £100 are payable annually in advance on the GTBank UK Current Account, or in 12 monthly instalments of £8.95.

Please indicate your preference: Monthly (£8.95) Annually (£100)

Business Savings Account GBP USD Euro

Section 3. Services Required

Internet Banking Foreign Exchange

Trade Finance Other

GTBank UK Mastercard Debit Card (please specify) _____

Section 4. Operating Your account

Best method of Contact Telephone Fax e-mail

Other (please specify) _____

Statement Delivery Online Post Online & Post

(for Non-UK companies only):

Please tick this box if you would like to collect your Internet Banking User ID(s), PIN(s) and Token &/or Debit Card(s) & PIN(s) through one of our Liaison Desks closest to you (see Section 15 for addresses).

Section 5.**KYC (Know Your Customer) Information**

Please help us to understand how your account will be operated through GT Bank (UK):

Business/ Main Activities/ SOW-SOI-SOF: (Please State Full Details)
Purpose for Opening Account(s): Please Specify Product and Currency per Account

Account 1:

Account 2:

Account 3:

Account 4:

Expected Annual Account Turnover:

Account 1	Account 2	Account 3	Account 4
£	£	£	£

Expected Values of Credit per Month:

Account 1	Account 2	Account 3	Account 4
£	£	£	£
£	£	£	£
£	£	£	£
£	£	£	£

Cheque(s) Deposits (issued on UK banks):

Cash:

Bank to Bank Transfers:

Highest Value of Any One Credit:

Number of Transactions:

Please Comment on Country of Business Activity:

Country(ies) of Origin of Expected Incoming Funds:	Country	% Turnover

Country(ies) of Destination of Expected Outgoing Funds:	Country	% Turnover

Business Associates:

Please state the customers (any companies &/or individuals) you currently are in business with and are expecting to receive frequent payments from:

Please state the customers (any companies &/or individuals) you currently are in business with and are expecting to make frequent payments to:

Goods/Services Produced?	
Any Future Business Being Considered?	
Has the company or any of its Directors, Shareholders or Authorised Signatories been subjected to any Legal, Bankruptcy/Insolvency proceedings? (if yes, please provide the name of the individual(s) along with the details of the proceedings)	

For Office Use Only:

Interviewer/ Officer	Name:	Position:
	Signature:	Date:

Section 6.

List of Directors and Shareholders

	Name	Director	Shareholder(s) Please list as follows: 10% and above for Non-UK registered companies 20% and above for UK registered companies
1		Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> _____% No <input type="checkbox"/>
2		Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> _____% No <input type="checkbox"/>
3		Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> _____% No <input type="checkbox"/>
4		Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> _____% No <input type="checkbox"/>
5		Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> _____% No <input type="checkbox"/>
6		Yes <input type="checkbox"/> No <input type="checkbox"/>	Yes <input type="checkbox"/> _____% No <input type="checkbox"/>

Please select with a "X" and all parties listed above must complete "section 8"

Please state relationship between Director(s) and Shareholder(s):		
Name:	Name:	Relationship:

Section 6a.

Person(s) with Significant Control

A Person of Significant Control (PSC) is anyone (a person or a corporate entity) that exerts a significant influence or control over a company.

They are identified as a PSC if they meet one or more of the following "Nature of Control" criteria:

- Hold more than 10% of a share in a company*
- Hold more than 10% of the voting rights in a company*
- Has the right to appoint or remove the majority of the directors/management of a company
- Has the right to exert or is exerting a significant influence or control over a company

* over 10% up to (and including) 50% or more than 50% and less than 75% or 75% or more

Please provide details:

Name (person or corporate)	Nature of Control: please select below with an "X"			Date of PSC Status
1	Shares:	over 10% up to (and including) 50%	<input type="checkbox"/>	
		more than 50% and less than 75%	<input type="checkbox"/>	
		75% or more	<input type="checkbox"/>	
	Voting Rights:	over 10% up to (and including) 50%	<input type="checkbox"/>	
		more than 50% and less than 75%	<input type="checkbox"/>	
		75% or more	<input type="checkbox"/>	
	Right to appoint or remove Directors		Yes / No	
2	Shares:	over 10% up to (and including) 50%	<input type="checkbox"/>	
		more than 50% and less than 75%	<input type="checkbox"/>	
		75% or more	<input type="checkbox"/>	
	Voting Rights:	over 10% up to (and including) 50%	<input type="checkbox"/>	
		more than 50% and less than 75%	<input type="checkbox"/>	
		75% or more	<input type="checkbox"/>	
	Right to appoint or remove Directors		Yes / No	

We confirm the above individuals in Section 6 and Section 6a to be the current Directors, Shareholders and Person(s) with Significant Control of our company.

Date:

Director:	Director/Company Secretary:
Name	Name
Signature	Signature

Section 7.**Appointment of Bankers and Mandate**

At a Meeting of the Board of Directors of _____ (the "Company")

whose Registered Office is at _____

held on _____,

it was resolved that:

Part A:

1. The company, acting in good faith and having regard to duty imposed on it by law:
 - a) appoints Guaranty Trust Bank (UK) Limited (the "Bank") as the company's bankers.
2. The company accepts the Business Terms and Conditions ("T&Cs") for operating a bank account with the Bank (as the same may be amended from time to time), and
 - a) acknowledges receipt of a copy of the T&C's currently in force;
 - b) agrees to be bound by such T&Cs (and any subsequent amendments thereon which the Bank may make from time to time), and;
 - c) confirms such agreement and acceptance by completing the Bank's form of Appointment of Bankers and Mandate;
3. Any individual named in the "List of Signatories" (an "authorised person") is authorised by the company on its behalf, either individually or if relevant, with other authorised persons, as specified below, to:

Any One Signatory <input type="checkbox"/>	Any Two Signatories <input type="checkbox"/>	All Signatories <input type="checkbox"/>
Any Other Combination <input type="checkbox"/>	Please specify: _____	

- a) enter into any other agreements (including banking facility agreements and indemnities) which they consider to be in the interests of the company from time to time; and
 - b) give instructions concerning the operation of the company's bank accounts and otherwise communicate with the Bank, in each case, in writing, verbally or online, in accordance with the T&Cs; and
 - c) arrange for the Bank to provide products or services which they consider to be in the interests of the company from time to time; and
 - d) register the company for the Bank's online and telephone banking service(s).
4. (a) The Directors acknowledge that if the company registers for the Bank's online and Telephone banking service(s), any of the authorised person(s) acting in accordance with the current approval processes for the services will be responsible for amending the company's 'customer profile' which (amongst other things) determines:
 - the accounts that can be accessed online or by telephone;
 - the security procedures and the number of individuals required to approve each instructions issued to the Bank (approval processes);
 - the individuals ("Users") allowed to use services offered by the Bank for making payments and other services (within specified limits).

(b) The Directors further acknowledge that the Bank is entitled to act on all instructions given by a user in accordance with the security procedures set out in the T&Cs until the company notifies the Bank that the User is no longer authorised to act for it;
5. (a) The company agrees to notify the Bank of any change to beneficial ownership and/or financial control of the company as soon as possible and in any event within 14 days of such change occurring.

(b) The company must enclose a certified up-to-date copy of its memorandum and articles or other constitutional documents.

Part B:

On behalf of the above company, I/we request that Guaranty Trust Bank (UK) Limited open us a Business Account(s).

That a list of the names and specimen signatures of the persons at present authorised to sign for and on behalf of the company under this resolution be furnished to the Bank and that they be advised by letter signed by the chairman for the time being of all changes that may take place in the same from time to time; that a copy of this resolution be furnished to the Bank; and that it remains in force until the receipt by the Bank of a copy of a resolution rescinding the same.

I/we confirm that:

- I/We have received a copy of your current Business Banking Terms and Conditions.
- I/We agree and accept to be bound by these Business Banking Terms and Conditions (as the same may be amended from time to time) for operating a bank account with Guaranty Trust Bank (UK) Limited.
- I/We confirm that the information given in connection with the opening of the above account is accurate and true to the best of my/our knowledge.
- I/we am/are over 18 years of age.
- I/We am/are authorised to sign for and on behalf of the company.

LIST OF SIGNATORIES:

	Name of Authorised Person	Signature	Date	Category (if any)
1				
2				
3				
4				
5				
6				

I/We hereby certify the above to be a true extract from the Minutes.

Date:

Director:

Director/Company Secretary:

Name	Name
Signature	Signature

Section 8. Personal Details (Director, Shareholder, Beneficial Owner, Signatory, Secretary, PSC, e-banking User)

Please complete as many copies of this section as required.

This section must be completed by all of the above.

Personal Details 1:**Role in Company:** _____

Title: _____

Surname: _____

First Name: _____

Middle Name(s): _____

Date of Birth (dd/mm/yyyy): ____ / ____ / ____

Gender: Male Female

ID/Passport No: _____

Date of Issue: ____ / ____ / ____ Expiry Date: ____ / ____ / ____

ID/Passport Country: _____

Nationality: _____

Period of stay at address: Years: Months:

Employment Details:Employment Status: _____
(employed, self-employed, retired, student etc)

Occupation: _____

Job Title: _____

Employer's Name: _____

Employer/Business Address: _____

Town/City: _____

Post Code/Zip Code: _____

Country: _____

Work Tel No.: _____

Contact Details:Home Tel No.: _____
(country code/area code/number)Mobile Tel No.: _____
(country code/area code/number)

e-mail address: _____

Address: _____

Post Code/Zip Code: _____

Security Questions:

To enable us to verify your identity when you communicate with the Bank by telephone or internet, please fully complete the following:

Security Question:	
Security Answer:	
Where was your mother born?	
Your mother's maiden name:	
Your first school:	
Your childhood hero:	
Your favourite place:	

Personal Details 1:

Background Information: (To be completed by Interviewer/ Officer)

Declaration:

Have you ever been involved with a company that has been subject to Bankruptcy/Insolvency proceedings?

Yes No

If yes, please give details below:

I confirm that my details contained herein are true and complete.

Should any of the details change, or should my involvement in the Company change in any material way, I shall inform the bank promptly.

I understand that you may make a credit reference search and/or searches on fraud prevention agencies database in order to assess this application.

I am aware that these credit reference/fraud prevention agencies will record any searches and other lenders may use this record when assessing a credit application from me or any member of my household.

By signing this application, I give my consent to these searches being made by the bank.

Signature: _____

Date: _____

Personal Details 1:

Data Protection:

Guaranty Trust Bank (UK) Limited of 60-62 Margaret Street, London W1W 8TF is the data controller for the purposes of data protection law.

The information which you provide to Guaranty Trust Bank (UK) Limited ("GT Bank UK", "we" or "us") on this form, or which we obtain from other sources (i.e. credit checks from credit reference agencies), including any sensitive personal data, will be used by us in accordance with our Privacy Notice: <https://www.gtbankuk.com/privacy-policy>.

In particular, we will use information that we hold about you for the purposes of assessing your application, verifying your identity (including anti-fraud checks) and checking your financial history, and if your application is successful, for administering your account, monitoring transactions to help to identify illegal activity, keeping internal records, improving our products or services and providing you with information about our other products and services, which we think may interest you (provided you have consented to this). For a full list of purposes please see our Privacy Notice.

We may share your personal information and information on the conduct of your account with credit reference agencies, anti-fraud agencies, other lenders and third parties to assist them in: administering your account (including card issuing and archiving), for fraud prevention and detection purposes, for audit purposes and for tracing debtors. Some of these third parties may be located outside the European Economic Area ("EEA"), in which case we will take all steps that are reasonably necessary to ensure that your personal information is treated securely and in accordance with our Privacy Notice and applicable data protection laws, including, where relevant, entering into EU standard contractual clauses (or equivalent measures) with the party outside the EEA receiving the personal information.

Please address any questions, comments and requests regarding our data processing practices to dpo@gtbankuk.com or to **DPO, 60-62 Margaret Street, London W1W 8TF**.

If you are happy to receive marketing communications from Guaranty Trust Bank (UK) Limited relating to our products and services, as well as for products and services from other members of the GTBank Group which may interest you, please tick here*

*You do not need to consent to this option in order to open your account with us. You can opt out at any time by emailing to: enquires@gtbankuk.com or by following the instructions in any of the messages you receive.

Name:	Signature:	Date:
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Personal Details 1:

GDPR Consent Statement:

I hereby grant Guaranty Trust Bank (UK) Limited (the Bank) and all its third-party processors authority to process my personal information/ data, for the purpose of;

Assessing my application and make decisions as to whether the Bank are able to provide me with the products/services I have requested.

Where I am also applying for a mortgage, I give consent for my personal information to be used (if need be), to organise a valuation of my property prior to making me an offer.

I am aware this is necessary for the Bank's legitimate interests to process personal information for the purposes of assessing my application form.

I am aware I can find a full description of what personal data the Bank collects and the purpose for collection and processing on the Bank's website: <https://www.gtbankuk.com/privacy-policy>.

I am aware that I may withdraw my consent at any time by using the Data Subject Consent Withdrawal Form found on GTBank UK website:
https://www.gtbankuk.com/download/general_downloads/Data-subject-consent-withdrawal-form.pdf.

Signed:

Name:	Signature:	Date:
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For Office Use Only: Request Actioned:

Data Protection Officer / GDPR Owner	Signature:	Date:
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Personal Details 1:

Politically Exposed Person (PEP) Declaration

Please confirm whether you are a PEP or whether you are an immediate family member or close business associate of a PEP.

Definition

A PEP is defined under the UK anti-money laundering legislation as:

✓ **A person who holds or has held a high political profile or public office within the last 12 months.**

Individuals entrusted with such prominent public functions include:

- Heads of state, heads of government, ministers and deputy or assistant ministers;
- Members of parliaments or of similar legislative bodies;
- Members of supreme courts, of constitutional courts or of other high-level judicial bodies the decisions of which are not subject to further appeal, except in exceptional circumstances;
- Members of courts of auditors or of the boards of central banks;
- Ambassadors, charges d'affaires and high-ranking officers in the armed forces (other than in respect of relevant positions at Community and international level);
- Members of the administrative, management or supervisory boards of State-owned enterprises; and
- Directors, deputy directors and members of the board or equivalent function of an international organisation.

These categories do not include middle-ranking or more junior officials.

✓ **An immediate family member of a PEP.**

Such family members include:

- A spouse or partner of that person;
- Children of that person and their spouses or partners; and
- Parents of that person
- Brothers and Sisters

✓ **A known close business associate of a PEP.**

Such associates include the following:

- An individual who is known to have joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with a PEP; and
- An individual who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit of a PEP.

I hereby declare that:

I **do not** consider myself to be a PEP I **do** consider myself to be a PEP

If **you do consider yourself to be a PEP**, please specify the position you hold or have held:

.....

I hereby declare that:

I **am not** an immediate family member or close business associate of a PEP

I **am** an immediate family member or close business associate of a PEP

If **you are an immediate family member or a close business associate of a PEP**, please provide details of immediate family member or close business associate who is a PEP:

Full Name:	
Position:	
Relationship to you:	

I hereby further declare that that the information in this section is accurate and I will amend this declaration in case of any changes to circumstances of my PEP status without any undue delay

Name: _____

Signature: _____ Date: _____

Personal Details 2:**Role in Company:** _____

Title: _____

Surname: _____

First Name: _____

Middle Name(s): _____

Date of Birth (dd/mm/yyyy): ____ / ____ / ____

Gender: Male Female

ID/Passport No: _____

Date of Issue: ____ / ____ / ____ Expiry Date: ____ / ____ / ____

ID/Passport Country: _____

Nationality: _____

Period of stay at address: Years: _____ Months: _____

Employment Details:Employment Status: _____
(employed, self-employed, retired, student etc)

Occupation: _____

Job Title: _____

Employer's Name: _____

Employer/Business Address: _____

Town/City: _____

Post Code/Zip Code: _____

Country: _____

Work Tel No.: _____

Contact Details:Home Tel No.: _____
(country code/area code/number)Mobile Tel No.: _____
(country code/area code/number)

e-mail address: _____

Address: _____

Post Code/Zip Code: _____

Security Questions:

To enable us to verify your identity when you communicate with the Bank by telephone or internet, please fully complete the following:

Security Question:	
Security Answer:	
Where was your mother born?	
Your mother's maiden name:	
Your first school:	
Your childhood hero:	
Your favourite place:	

Personal Details 2:

Background Information: (To be completed by Interviewer/ Officer)

Declaration:

Have you ever been involved with a company that has been subject to Bankruptcy/Insolvency proceedings?

Yes No

If yes, please give details below:

I confirm that my details contained herein are true and complete.

Should any of the details change, or should my involvement in the Company change in any material way, I shall inform the bank promptly.

I understand that you may make a credit reference search and/or searches on fraud prevention agencies database in order to assess this application.

I am aware that these credit reference/fraud prevention agencies will record any searches and other lenders may use this record when assessing a credit application from me or any member of my household.

By signing this application, I give my consent to these searches being made by the bank.

Signature: _____

Date: _____

Personal Details 2:

Data Protection:

Guaranty Trust Bank (UK) Limited of 60-62 Margaret Street, London W1W 8TF is the data controller for the purposes of data protection law.

The information which you provide to Guaranty Trust Bank (UK) Limited ("GT Bank UK", "we" or "us") on this form, or which we obtain from other sources (i.e. credit checks from credit reference agencies), including any sensitive personal data, will be used by us in accordance with our Privacy Notice: <https://www.gtbankuk.com/privacy-policy>.

In particular, we will use information that we hold about you for the purposes of assessing your application, verifying your identity (including anti-fraud checks) and checking your financial history, and if your application is successful, for administering your account, monitoring transactions to help to identify illegal activity, keeping internal records, improving our products or services and providing you with information about our other products and services, which we think may interest you (provided you have consented to this). For a full list of purposes please see our Privacy Notice.

We may share your personal information and information on the conduct of your account with credit reference agencies, anti-fraud agencies, other lenders and third parties to assist them in: administering your account (including card issuing and archiving), for fraud prevention and detection purposes, for audit purposes and for tracing debtors. Some of these third parties may be located outside the European Economic Area ("EEA"), in which case we will take all steps that are reasonably necessary to ensure that your personal information is treated securely and in accordance with our Privacy Notice and applicable data protection laws, including, where relevant, entering into EU standard contractual clauses (or equivalent measures) with the party outside the EEA receiving the personal information.

Please address any questions, comments and requests regarding our data processing practices to dpo@gtbankuk.com or to **DPO, 60-62 Margaret Street, London W1W 8TF**.

If you are happy to receive marketing communications from Guaranty Trust Bank (UK) Limited relating to our products and services, as well as for products and services from other members of the GTBank Group which may interest you, please tick here*

*You do not need to consent to this option in order to open your account with us. You can opt out at any time by emailing to: enquires@gtbankuk.com or by following the instructions in any of the messages you receive.

Name:	Signature:	Date:
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Personal Details 2:

GDPR Consent Statement:

I hereby grant Guaranty Trust Bank (UK) Limited (the Bank) and all its third-party processors authority to process my personal information/ data, for the purpose of;

Assessing my application and make decisions as to whether the Bank are able to provide me with the products/services I have requested.

Where I am also applying for a mortgage, I give consent for my personal information to be used (if need be), to organise a valuation of my property prior to making me an offer.

I am aware this is necessary for the Bank's legitimate interests to process personal information for the purposes of assessing my application form.

I am aware I can find a full description of what personal data the Bank collects and the purpose for collection and processing on the Bank's website: <https://www.gtbankuk.com/privacy-policy>.

I am aware that I may withdraw my consent at any time by using the Data Subject Consent Withdrawal Form found on GTBank UK website:

https://www.gtbankuk.com/download/general_downloads/Data-subject-consent-withdrawal-form.pdf

Signed:

Name:	Signature:	Date:
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For Office Use Only: Request Actioned:

Data Protection Officer / GDPR Owner	Signature:	Date:
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Personal Details 2:

Politically Exposed Person (PEP) Declaration

Please confirm whether you are a PEP or whether you are an immediate family member or close business associate of a PEP.

Definition

A PEP is defined under the UK anti-money laundering legislation as:

- ✓ **A person who holds or has held a high political profile or public office within the last 12 months.**

Individuals entrusted with such prominent public functions include:

- Heads of state, heads of government, ministers and deputy or assistant ministers;
- Members of parliaments or of similar legislative bodies;
- Members of supreme courts, of constitutional courts or of other high-level judicial bodies the decisions of which are not subject to further appeal, except in exceptional circumstances;
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- Ambassadors, charges d'affaires and high-ranking officers in the armed forces (other than in respect of relevant positions at Community and international level);
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- ✓ **An immediate family member of a PEP.**

Such family members include:

- A spouse or partner of that person;
- Children of that person and their spouses or partners; and
- Parents of that person
- Brothers and Sisters

- ✓ **A known close business associate of a PEP.**

Such associates include the following:

- An individual who is known to have joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with a PEP; and
- An individual who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit of a PEP.

I hereby declare that:

- I **do not** consider myself to be a PEP I **do** consider myself to be a PEP

If you do consider yourself to be a PEP, please specify the position you hold or have held:

.....

I hereby declare that:

- I **am not** an immediate family member or close business associate of a PEP

- I **am** an immediate family member or close business associate of a PEP

If you are an immediate family member or a close business associate of a PEP, please provide details of immediate family member or close business associate who is a PEP:

Full Name:	
Position:	
Relationship to you:	

I hereby further declare that that the information in this section is accurate and I will amend this declaration in case of any changes to circumstances of my PEP status without any undue delay

Name: _____

Signature: _____ Date: _____

Personal Details 3:**Role in Company:** _____

Title: _____

Surname: _____

First Name: _____

Middle Name(s): _____

Date of Birth (dd/mm/yyyy): ____ / ____ / ____

Gender: Male Female

ID/Passport No: _____

Date of Issue: ____ / ____ / ____ Expiry Date: ____ / ____ / ____

ID/Passport Country: _____

Nationality: _____

Period of stay at address: Years: Months:

Employment Details:Employment Status: _____
(employed, self-employed, retired, student etc)

Occupation: _____

Job Title: _____

Employer's Name: _____

Employer/Business Address: _____

Town/City: _____

Post Code/Zip Code: _____

Country: _____

Work Tel No.: _____

Contact Details:Home Tel No.: _____
(country code/area code/number)Mobile Tel No.: _____
(country code/area code/number)

e-mail address: _____

Address: _____

Post Code/Zip Code: _____

Security Questions:

To enable us to verify your identity when you communicate with the Bank by telephone or internet, please fully complete the following:

Security Question:	
Security Answer:	
Where was your mother born?	
Your mother's maiden name:	
Your first school:	
Your childhood hero:	
Your favourite place:	

Personal Details 3:

Background Information: (To be completed by Interviewer/ Officer)

Declaration:

Have you ever been involved with a company that has been subject to Bankruptcy/Insolvency proceedings?

Yes No

If yes, please give details below:

I confirm that my details contained herein are true and complete.

Should any of the details change, or should my involvement in the Company change in any material way, I shall inform the bank promptly.

I understand that you may make a credit reference search and/or searches on fraud prevention agencies database in order to assess this application.

I am aware that these credit reference/fraud prevention agencies will record any searches and other lenders may use this record when assessing a credit application from me or any member of my household.

By signing this application, I give my consent to these searches being made by the bank.

Signature: _____

Date: _____

Personal Details 3:

Data Protection:

Guaranty Trust Bank (UK) Limited of 60-62 Margaret Street, London W1W 8TF is the data controller for the purposes of data protection law.

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In particular, we will use information that we hold about you for the purposes of assessing your application, verifying your identity (including anti-fraud checks) and checking your financial history, and if your application is successful, for administering your account, monitoring transactions to help to identify illegal activity, keeping internal records, improving our products or services and providing you with information about our other products and services, which we think may interest you (provided you have consented to this). For a full list of purposes please see our Privacy Notice.

We may share your personal information and information on the conduct of your account with credit reference agencies, anti-fraud agencies, other lenders and third parties to assist them in: administering your account (including card issuing and archiving), for fraud prevention and detection purposes, for audit purposes and for tracing debtors. Some of these third parties may be located outside the European Economic Area ("EEA"), in which case we will take all steps that are reasonably necessary to ensure that your personal information is treated securely and in accordance with our Privacy Notice and applicable data protection laws, including, where relevant, entering into EU standard contractual clauses (or equivalent measures) with the party outside the EEA receiving the personal information.

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Name:	Signature:	Date:
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Personal Details 3:

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Assessing my application and make decisions as to whether the Bank are able to provide me with the products/services I have requested.

Where I am also applying for a mortgage, I give consent for my personal information to be used (if need be), to organise a valuation of my property prior to making me an offer.

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Signed:

Name:	Signature:	Date:
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For Office Use Only: Request Actioned:

Data Protection Officer / GDPR Owner	Signature:	Date:
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Personal Details 3:

Politically Exposed Person (PEP) Declaration

Please confirm whether you are a PEP or whether you are an immediate family member or close business associate of a PEP.

Definition

A PEP is defined under the UK anti-money laundering legislation as:

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Such associates include the following:

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- An individual who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit of a PEP.

I hereby declare that:

I **do not** consider myself to be a PEP I **do** consider myself to be a PEP

If **you do consider yourself to be a PEP**, please specify the position you hold or have held:

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I hereby declare that:

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Full Name:	
Position:	
Relationship to you:	

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Name: _____

Signature: _____ Date: _____

Personal Details 4:**Role in Company:** _____

Title: _____

Surname: _____

First Name: _____

Middle Name(s): _____

Date of Birth (dd/mm/yyyy): ____ / ____ / ____

Gender: Male Female

ID/Passport No: _____

Date of Issue: ____ / ____ / ____ Expiry Date: ____ / ____ / ____

ID/Passport Country: _____

Nationality: _____

Period of stay at address: Years: Months:

Employment Details:Employment Status: _____
(employed, self-employed, retired, student etc)

Occupation: _____

Job Title: _____

Employer's Name: _____

Employer/Business Address: _____

Town/City: _____

Post Code/Zip Code: _____

Country: _____

Work Tel No.: _____

Contact Details:Home Tel No.: _____
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(country code/area code/number)

e-mail address: _____

Address: _____

Post Code/Zip Code: _____

Security Questions:

To enable us to verify your identity when you communicate with the Bank by telephone or internet, please fully complete the following:

Security Question:	
Security Answer:	
Where was your mother born?	
Your mother's maiden name:	
Your first school:	
Your childhood hero:	
Your favourite place:	

Personal Details 4:

Background Information: (To be completed by Interviewer/ Officer)

Declaration:

Have you ever been involved with a company that has been subject to Bankruptcy/Insolvency proceedings?

Yes No

If yes, please give details below:

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Date: _____

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Signed:

Name:	Signature:	Date:
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For Office Use Only: Request Actioned:

Data Protection Officer / GDPR Owner	Signature:	Date:
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Personal Details 4:

Politically Exposed Person (PEP) Declaration

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Definition

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Relationship to you:	

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Name: _____

Signature: _____ Date: _____

Personal Details 5:**Role in Company:** _____

Title: _____

Surname: _____

First Name: _____

Middle Name(s): _____

Date of Birth (dd/mm/yyyy): ____ / ____ / ____

Gender: Male Female

ID/Passport No: _____

Date of Issue: ____ / ____ / ____ Expiry Date: ____ / ____ / ____

ID/Passport Country: _____

Nationality: _____

Period of stay at address: Years: _____ Months: _____

Employment Details:Employment Status: _____
(employed, self-employed, retired, student etc)

Occupation: _____

Job Title: _____

Employer's Name: _____

Employer/Business Address: _____

Town/City: _____

Post Code/Zip Code: _____

Country: _____

Work Tel No.: _____

Contact Details:Home Tel No.: _____
(country code/area code/number)Mobile Tel No.: _____
(country code/area code/number)

e-mail address: _____

Address: _____

Post Code/Zip Code: _____

Security Questions:

To enable us to verify your identity when you communicate with the Bank by telephone or internet, please fully complete the following:

Security Question:	
Security Answer:	
Where was your mother born?	
Your mother's maiden name:	
Your first school:	
Your childhood hero:	
Your favourite place:	

Personal Details 5:

Background Information: (To be completed by Interviewer/ Officer)

Declaration:

Have you ever been involved with a company that has been subject to Bankruptcy/Insolvency proceedings?

Yes No

If yes, please give details below:

I confirm that my details contained herein are true and complete.

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Signature: _____

Date: _____

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Name:	Signature:	Date:
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Personal Details 5:

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Signed:

Name:	Signature:	Date:
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Data Protection Officer / GDPR Owner	Signature:	Date:
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Personal Details 5:

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- Brothers and Sisters

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Such associates include the following:

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- An individual who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit of a PEP.

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I **do not** consider myself to be a PEP I **do** consider myself to be a PEP

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I **am** an immediate family member or close business associate of a PEP

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Full Name:	
Position:	
Relationship to you:	

I hereby further declare that that the information in this section is accurate and I will amend this declaration in case of any changes to circumstances of my PEP status without any undue delay

Name: _____

Signature: _____ Date: _____

Personal Details 6:**Role in Company:** _____

Title: _____

Surname: _____

First Name: _____

Middle Name(s): _____

Date of Birth (dd/mm/yyyy): ____ / ____ / ____

Gender: Male Female

ID/Passport No: _____

Date of Issue: ____ / ____ / ____ Expiry Date: ____ / ____ / ____

ID/Passport Country: _____

Nationality: _____

Period of stay at address: Years: Months:

Employment Details:Employment Status: _____
(employed, self-employed, retired, student etc)

Occupation: _____

Job Title: _____

Employer's Name: _____

Employer/Business Address: _____

Town/City: _____

Post Code/Zip Code: _____

Country: _____

Work Tel No.: _____

Contact Details:Home Tel No.: _____
(country code/area code/number)Mobile Tel No.: _____
(country code/area code/number)

e-mail address: _____

Address: _____

Post Code/Zip Code: _____

Security Questions:

To enable us to verify your identity when you communicate with the Bank by telephone or internet, please fully complete the following:

Security Question:	
Security Answer:	
Where was your mother born?	
Your mother's maiden name:	
Your first school:	
Your childhood hero:	
Your favourite place:	

Personal Details 6:

Background Information: (To be completed by Interviewer/ Officer)

Declaration:

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Yes No

If yes, please give details below:

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Date: _____

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Politically Exposed Person (PEP) Declaration

Please confirm whether you are a PEP or whether you are an immediate family member or close business associate of a PEP.

Definition

A PEP is defined under the UK anti-money laundering legislation as:

✓ **A person who holds or has held a high political profile or public office within the last 12 months.**

Individuals entrusted with such prominent public functions include:

- Heads of state, heads of government, ministers and deputy or assistant ministers;
- Members of parliaments or of similar legislative bodies;
- Members of supreme courts, of constitutional courts or of other high-level judicial bodies the decisions of which are not subject to further appeal, except in exceptional circumstances;
- Members of courts of auditors or of the boards of central banks;
- Ambassadors, charges d'affaires and high-ranking officers in the armed forces (other than in respect of relevant positions at Community and international level);
- Members of the administrative, management or supervisory boards of State-owned enterprises; and
- Directors, deputy directors and members of the board or equivalent function of an international organisation.

These categories do not include middle-ranking or more junior officials.

✓ **An immediate family member of a PEP.**

Such family members include:

- A spouse or partner of that person;
- Children of that person and their spouses or partners; and
- Parents of that person
- Brothers and Sisters

✓ **A known close business associate of a PEP.**

Such associates include the following:

- An individual who is known to have joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with a PEP; and
- An individual who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit of a PEP.

I hereby declare that:

I **do not** consider myself to be a PEP I **do** consider myself to be a PEP

If **you do consider yourself to be a PEP**, please specify the position you hold or have held:

.....

I hereby declare that:

I **am not** an immediate family member or close business associate of a PEP

I **am** an immediate family member or close business associate of a PEP

If **you are an immediate family member or a close business associate of a PEP**, please provide details of immediate family member or close business associate who is a PEP:

Full Name:	
Position:	
Relationship to you:	

I hereby further declare that that the information in this section is accurate and I will amend this declaration in case of any changes to circumstances of my PEP status without any undue delay

Name: _____

Signature: _____ Date: _____

Personal Details 7:**Role in Company:** _____

Title: _____

Surname: _____

First Name: _____

Middle Name(s): _____

Date of Birth (dd/mm/yyyy): ____ / ____ / ____

Gender: Male Female

ID/Passport No: _____

Date of Issue: ____ / ____ / ____ Expiry Date: ____ / ____ / ____

ID/Passport Country: _____

Nationality: _____

Period of stay at address: Years: _____ Months: _____

Employment Details:Employment Status: _____
(employed, self-employed, retired, student etc)

Occupation: _____

Job Title: _____

Employer's Name: _____

Employer/Business Address: _____

Town/City: _____

Post Code/Zip Code: _____

Country: _____

Work Tel No.: _____

Contact Details:Home Tel No.: _____
(country code/area code/number)Mobile Tel No.: _____
(country code/area code/number)

e-mail address: _____

Address: _____

Post Code/Zip Code: _____

Security Questions:

To enable us to verify your identity when you communicate with the Bank by telephone or internet, please fully complete the following:

Security Question:	
Security Answer:	
Where was your mother born?	
Your mother's maiden name:	
Your first school:	
Your childhood hero:	
Your favourite place:	

Personal Details 7:

Background Information: (To be completed by Interviewer/ Officer)

Declaration:

Have you ever been involved with a company that has been subject to Bankruptcy/Insolvency proceedings?

Yes No

If yes, please give details below:

I confirm that my details contained herein are true and complete.

Should any of the details change, or should my involvement in the Company change in any material way, I shall inform the bank promptly.

I understand that you may make a credit reference search and/or searches on fraud prevention agencies database in order to assess this application.

I am aware that these credit reference/fraud prevention agencies will record any searches and other lenders may use this record when assessing a credit application from me or any member of my household.

By signing this application, I give my consent to these searches being made by the bank.

Signature: _____

Date: _____

Personal Details 7:

Data Protection:

Guaranty Trust Bank (UK) Limited of 60-62 Margaret Street, London W1W 8TF is the data controller for the purposes of data protection law.

The information which you provide to Guaranty Trust Bank (UK) Limited ("GT Bank UK", "we" or "us") on this form, or which we obtain from other sources (i.e. credit checks from credit reference agencies), including any sensitive personal data, will be used by us in accordance with our Privacy Notice: <https://www.gtbankuk.com/privacy-policy>.

In particular, we will use information that we hold about you for the purposes of assessing your application, verifying your identity (including anti-fraud checks) and checking your financial history, and if your application is successful, for administering your account, monitoring transactions to help to identify illegal activity, keeping internal records, improving our products or services and providing you with information about our other products and services, which we think may interest you (provided you have consented to this). For a full list of purposes please see our Privacy Notice.

We may share your personal information and information on the conduct of your account with credit reference agencies, anti-fraud agencies, other lenders and third parties to assist them in: administering your account (including card issuing and archiving), for fraud prevention and detection purposes, for audit purposes and for tracing debtors. Some of these third parties may be located outside the European Economic Area ("EEA"), in which case we will take all steps that are reasonably necessary to ensure that your personal information is treated securely and in accordance with our Privacy Notice and applicable data protection laws, including, where relevant, entering into EU standard contractual clauses (or equivalent measures) with the party outside the EEA receiving the personal information.

Please address any questions, comments and requests regarding our data processing practices to dpo@gtbankuk.com or to **DPO, 60-62 Margaret Street, London W1W 8TF**.

If you are happy to receive marketing communications from Guaranty Trust Bank (UK) Limited relating to our products and services, as well as for products and services from other members of the GTBank Group which may interest you, please tick here*

*You do not need to consent to this option in order to open your account with us. You can opt out at any time by emailing to: enquires@gtbankuk.com or by following the instructions in any of the messages you receive.

Name:	Signature:	Date:
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Personal Details 7:

GDPR Consent Statement:

I hereby grant Guaranty Trust Bank (UK) Limited (the Bank) and all its third-party processors authority to process my personal information/ data, for the purpose of;

Assessing my application and make decisions as to whether the Bank are able to provide me with the products/services I have requested.

Where I am also applying for a mortgage, I give consent for my personal information to be used (if need be), to organise a valuation of my property prior to making me an offer.

I am aware this is necessary for the Bank's legitimate interests to process personal information for the purposes of assessing my application form.

I am aware I can find a full description of what personal data the Bank collects and the purpose for collection and processing on the Bank's website: <https://www.gtbankuk.com/privacy-policy>.

I am aware that I may withdraw my consent at any time by using the Data Subject Consent Withdrawal Form found on GTBank UK website:

https://www.gtbankuk.com/download/general_downloads/Data-subject-consent-withdrawal-form.pdf

Signed:

Name:	Signature:	Date:
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For Office Use Only: Request Actioned:

Data Protection Officer / GDPR Owner	Signature:	Date:
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Personal Details 7:

Politically Exposed Person (PEP) Declaration

Please confirm whether you are a PEP or whether you are an immediate family member or close business associate of a PEP.

Definition

A PEP is defined under the UK anti-money laundering legislation as:

- ✓ **A person who holds or has held a high political profile or public office within the last 12 months.**

Individuals entrusted with such prominent public functions include:

- Heads of state, heads of government, ministers and deputy or assistant ministers;
- Members of parliaments or of similar legislative bodies;
- Members of supreme courts, of constitutional courts or of other high-level judicial bodies the decisions of which are not subject to further appeal, except in exceptional circumstances;
- Members of courts of auditors or of the boards of central banks;
- Ambassadors, charges d'affaires and high-ranking officers in the armed forces (other than in respect of relevant positions at Community and international level);
- Members of the administrative, management or supervisory boards of State-owned enterprises; and
- Directors, deputy directors and members of the board or equivalent function of an international organisation.

These categories do not include middle-ranking or more junior officials.

- ✓ **An immediate family member of a PEP.**

Such family members include:

- A spouse or partner of that person;
- Children of that person and their spouses or partners; and
- Parents of that person
- Brothers and Sisters

- ✓ **A known close business associate of a PEP.**

Such associates include the following:

- An individual who is known to have joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with a PEP; and
- An individual who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit of a PEP.

I hereby declare that:

I **do not** consider myself to be a PEP I **do** consider myself to be a PEP

If **you do consider yourself to be a PEP**, please specify the position you hold or have held:

.....

I hereby declare that:

I **am not** an immediate family member or close business associate of a PEP

I **am** an immediate family member or close business associate of a PEP

If **you are an immediate family member or a close business associate of a PEP**, please provide details of immediate family member or close business associate who is a PEP:

Full Name:	
Position:	
Relationship to you:	

I hereby further declare that that the information in this section is accurate and I will amend this declaration in case of any changes to circumstances of my PEP status without any undue delay

Name: _____

Signature: _____ Date: _____

Personal Details 8:**Role in Company:** _____

Title: _____

Surname: _____

First Name: _____

Middle Name(s): _____

Date of Birth (dd/mm/yyyy): ____ / ____ / ____

Gender: Male Female

ID/Passport No: _____

Date of Issue: ____ / ____ / ____ Expiry Date: ____ / ____ / ____

ID/Passport Country: _____

Nationality: _____

Period of stay at address: Years: _____ Months: _____

Employment Details:Employment Status: _____
(employed, self-employed, retired, student etc)

Occupation: _____

Job Title: _____

Employer's Name: _____

Employer/Business Address: _____

Town/City: _____

Post Code/Zip Code: _____

Country: _____

Work Tel No.: _____

Contact Details:Home Tel No.: _____
(country code/area code/number)Mobile Tel No.: _____
(country code/area code/number)

e-mail address: _____

Address: _____
_____Post Code/Zip Code: _____
 Security Questions:

To enable us to verify your identity when you communicate with the Bank by telephone or internet, please fully complete the following:

Security Question:	
Security Answer:	
Where was your mother born?	
Your mother's maiden name:	
Your first school:	
Your childhood hero:	
Your favourite place:	

Personal Details 8:

Background Information: (To be completed by Interviewer/ Officer)

Declaration:

Have you ever been involved with a company that has been subject to Bankruptcy/Insolvency proceedings?

Yes No

If yes, please give details below:

I confirm that my details contained herein are true and complete.

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I understand that you may make a credit reference search and/or searches on fraud prevention agencies database in order to assess this application.

I am aware that these credit reference/fraud prevention agencies will record any searches and other lenders may use this record when assessing a credit application from me or any member of my household.

By signing this application, I give my consent to these searches being made by the bank.

Signature: _____

Date: _____

Personal Details 8:

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The information which you provide to Guaranty Trust Bank (UK) Limited ("GT Bank UK", "we" or "us") on this form, or which we obtain from other sources (i.e. credit checks from credit reference agencies), including any sensitive personal data, will be used by us in accordance with our Privacy Notice: <https://www.gtbankuk.com/privacy-policy>.

In particular, we will use information that we hold about you for the purposes of assessing your application, verifying your identity (including anti-fraud checks) and checking your financial history, and if your application is successful, for administering your account, monitoring transactions to help to identify illegal activity, keeping internal records, improving our products or services and providing you with information about our other products and services, which we think may interest you (provided you have consented to this). For a full list of purposes please see our Privacy Notice.

We may share your personal information and information on the conduct of your account with credit reference agencies, anti-fraud agencies, other lenders and third parties to assist them in: administering your account (including card issuing and archiving), for fraud prevention and detection purposes, for audit purposes and for tracing debtors. Some of these third parties may be located outside the European Economic Area ("EEA"), in which case we will take all steps that are reasonably necessary to ensure that your personal information is treated securely and in accordance with our Privacy Notice and applicable data protection laws, including, where relevant, entering into EU standard contractual clauses (or equivalent measures) with the party outside the EEA receiving the personal information.

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Name:	Signature:	Date:
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Personal Details 8:

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Where I am also applying for a mortgage, I give consent for my personal information to be used (if need be), to organise a valuation of my property prior to making me an offer.

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Signed:

Name:	Signature:	Date:
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For Office Use Only: Request Actioned:

Data Protection Officer / GDPR Owner	Signature:	Date:
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Personal Details 8:

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- Members of courts of auditors or of the boards of central banks;
- Ambassadors, charges d'affaires and high-ranking officers in the armed forces (other than in respect of relevant positions at Community and international level);
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- I **do not** consider myself to be a PEP I **do** consider myself to be a PEP

If you do consider yourself to be a PEP, please specify the position you hold or have held:

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I hereby declare that:

- I **am not** an immediate family member or close business associate of a PEP

- I **am** an immediate family member or close business associate of a PEP

If you are an immediate family member or a close business associate of a PEP, please provide details of immediate family member or close business associate who is a PEP:

Full Name:	
Position:	
Relationship to you:	

I hereby further declare that that the information in this section is accurate and I will amend this declaration in case of any changes to circumstances of my PEP status without any undue delay

Name: _____

Signature: _____ Date: _____

Section 9.**Telephone, Email and Facsimile Instructions Form:**

In consideration of the Bank's accepting or acting upon my/our telephone, and/or any letter received by facsimile transmission (fax) or email or as an attachment to an e-mail from me/us, (collectively called instructions) addressed to or otherwise communicated to any of the Bank's employees, I/we hereby confirm to you for and on behalf of the company that:

1. You have made clear to me/us and I/we am/are fully aware of the risks of omissions, errors, mis-statements, fraud and/or authorised interventions by third parties which are inherent in the above procedure.
2. I/We have no objection to your recording such instructions and agree that the same may be used in evidence where relevant.
3. Further, I/we hereby agree with you as follows:
 - I. I/We agree that the Bank may seek confirmation of any such instructions prior to acting upon them. Such confirmation may be obtained by telephoning any of the authorised signatories to the account.
 - II. If the Bank has tried but has failed to make contact with a named signatory for any reason or has not been supplied with the confirmation of identity of any signatory; it may in its absolute discretion refuse to act upon the instruction concerned.
 - III. The signatories named above, shall comply with any proper requests for information made by the Bank for the authentication of the instruction in question.
 - IV. I/We shall make prudent use of the above arrangements for me/us to issue such instructions and shall inform you as soon as practicable upon becoming aware of any circumstances or event regarding or likely to render the continued use of the said arrangements unsafe.
4. Finally, I/we fully accept that you may refuse to act upon any particular instruction and indeed that you may terminate the above arrangements whenever you deem fit without prior notice to me/us. I/We confirm that I/we shall not hold you liable in any way whatsoever for any loss or damage, direct or indirect, howsoever arising from your refusal to act upon any particular instruction.
5. This agreement shall be governed by English law and shall be additional to any other indemnity which you now or hereafter may hold.
6. **If you wish to opt-in for submitting instructions by telephone, email and facsimile, please tick this box:**

If you do opt-out, we will only accept any instructions from you through your e-banking access (if you are registered) or if you send us original instructions by mail or in person.

Section 10.**GeNS**

In addition to the above mentioned services, as a proactive measure and in order to reduce frauds, we will be providing you with the free Guaranty Trust Bank Electronic Notification System (GeNS).

It is a free email alert system that notifies you about **all your account balances and monies either coming in or going out of your account(s) with us, regardless of currency.**

We recommend you to utilise this alert service and do not opt-out.

TERMS & CONDITIONS OF SERVICE

While GTBank UK will take utmost care in delivering the GeNS Service to customers, e-mail communications cannot be guaranteed to be secure or error-free; as information could be intercepted, corrupted, amended, lost, destroyed, arrive late or incomplete, or contain viruses. We do not accept liability for any such matters or their consequences.

You cannot elect to opt out of GeNS without having to do so for other e-banking services such as Online Banking.

GTBank UK reserves the right to discontinue the service in the future.

ACKNOWLEDGEMENT

I/We understand the Terms & Conditions of this service and in requesting updates for and on behalf of

_____ Ltd from the GeNS alert system, I/we do so, at my/our own risk and I/we am/are responsible for securing access to my/our email box.

Please specify the designated recipient email address where you wish to receive your GeNS alerts: _____

In case you wish to opt-out of GeNS alert services, please tick box:

The Foreign Account Tax Compliance Act (FATCA) is a 2010 US federal law, designed to prevent tax evasion by US citizens using offshore banking facilities.

It requires Financial Institutions outside the US to provide information to the US tax authorities regarding financial accounts held by "US Persons".

When applying for one of our products, we require our application forms to be fully completed.

It is important to note that Guaranty Trust Bank (UK) Limited cannot provide any tax advice for completion of our application forms.

Please read the details below in order to determine if you are a "US Person" and consequently, a US taxpayer for the purpose of FATCA, If:

1. The company incorporated in the United States
2. The company's registered address is located in the United States
3. It qualifies to be a financial institution.
If yes:
Nigerian Financial Institution
Partner Jurisdiction Financial Institution
Financial Nonparticipating institution
4. You have a Global Intermediary Identification Number – GIIN
5. The company is a passive, non-financial, non-U.S. entity.
If yes:
Is there a Controlling Person at the company who, for taxation purposes, is deemed to be a U.S. citizen or a person with U.S. indicia?
6. The company acting as an intermediary.
7. The company is a U.S. government entity, an international organization, a foreign central bank of issue, a tax-exempt government entity, a private foundation or a government of a U.S. Territory
8. The company a U.S. entity with income that is effectively connected with the conduct of a trade or business in the United States

If you answered "YES" to any of the above, you declare for and on behalf of the company, to be a "US Person" and consequently, a US taxpayer for the purpose of FATCA.

(The relevant form will be forwarded to you in accordance with your tax status)

Yes No

We confirm that the information given is true and complete.

- We authorise you to make credit reference checks, identity checks (including searching the Electoral Register, sanction list check, and other enquiries in accordance with your normal procedures).
- We have received and read the Financial Services Compensation Scheme (FSCS) Information Sheet and Exclusions List.
- We confirm that we have read and understood the Terms and Conditions governing the operation of the account(s) and other services/products and agree to be bound by them, please tick here:

Data Protection:

Guaranty Trust Bank (UK) Limited of 60-62 Margaret Street, London W1W 8TF is the data controller for the purposes of data protection law.

The information which you provide to Guaranty Trust Bank (UK) Limited ("GT Bank UK", "we" or "us") on this form, or which we obtain from other sources (i.e. credit checks from credit reference agencies), including any sensitive personal data, will be used by us in accordance with our Privacy Notice: <https://www.gtbankuk.com/privacy-policy>.

In particular, we will use information that we hold about you for the purposes of assessing your application, verifying your identity (including anti-fraud checks) and checking your financial history, and if your application is successful, for administering your account, monitoring transactions to help to identify illegal activity, keeping internal records, improving our products or services and providing you with information about our other products and services, which we think may interest you (provided you have consented to this). For a full list of purposes please see our Privacy Notice.

We may share your personal information and information on the conduct of your account with credit reference agencies, anti-fraud agencies, other lenders and third parties to assist them in: administering your account (including card issuing and archiving), for fraud prevention and detection purposes, for audit purposes and for tracing debtors. Some of these third parties may be located outside the European Economic Area ("EEA"), in which case we will take all steps that are reasonably necessary to ensure that your personal information is treated securely and in accordance with our Privacy Notice and applicable data protection laws, including, where relevant, entering into EU standard contractual clauses (or equivalent measures) with the party outside the EEA receiving the personal information.

Please address any questions, comments and requests regarding our data processing practices to dpo@gtbankuk.com or to **DPO, 60-62 Margaret Street, London W1W 8TF**.

If you are happy to receive marketing communications from Guaranty Trust Bank (UK) Limited relating to our products and services, as well as for products and services from other members of the GTBank Group which may interest you, please tick here*

*You do not need to consent to this option in order to open your account with us. You can opt out at any time by emailing to: enquires@gtbankuk.com or by following the instructions in any of the messages you receive.

For and on behalf of _____

Date:

Director:

Director/Company Secretary:

Name:	Name:
Signature:	Signature:

We hereby grant Guaranty Trust Bank (UK) Limited (the Bank) and all its third-party processors authority to process our personal information/data, for the purpose of;

Assessing our application and make decisions as to whether the Bank are able to provide us with the products/services we have requested.

Where we are also applying for a loan to purchase a property, we give consent for personal information to be used (if need be), to organise a valuation of the property prior to making us an offer.

We are aware this is necessary for the Bank's legitimate interests to process personal information for the purposes of assessing our application form.

We are aware we can find a full description of what personal data the Bank collects and the purpose for collection and processing on the Bank's website: <https://www.gtbankuk.com/privacy-policy>.

We are aware that we may withdraw our consent at any time by using the Data Subject Consent Withdrawal Form found on GTBank UK website:

https://www.gtbankuk.com/download/general_downloads/Data-subject-consent-withdrawal-form.pdf

For and on behalf of _____

Signed:

Date:

Director:

Director/Company Secretary:

Name:	Name:
Signature:	Signature:

For Office Use Only: Request Actioned:

Data Protection Officer / GDPR Owner	Signature:	Date:
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How to complete this form

- Applicants should complete Part A only
- Send the form to your current bank asking them to complete Part B
(your bank should return this form directly to us)

A. This part is to be completed by you

Company Details

All details supplied must correspond to those given in the Account Opening Form. We request verification of your address from your existing bank, to comply with the UK's Anti-Money Laundering Laws.

Company Name: _____

Registration Number: _____ Registration Date: _____

Country of Incorporation: _____

Registered Address: _____

Post Code: _____ Country: _____

Type of Account: _____ Account Number: _____
(Current, Savings, Fixed Deposit, etc.)

Details of Existing Bank

Bank Name: _____

Address: _____

Post Code: _____ Country: _____

Sort Code(s) (if applicable): _____

Signature(s) According to Mandate: _____ Date: _____

I/We authorise Guaranty Trust Bank (UK) Limited to request confirmation of the above and a bankers' reference as requested.

B. This part is to be completed by your bank

This form **must** be returned directly to Guaranty Trust Bank (UK) Limited and not via the client.

The form can also be sent to one of our Liaison Desks closest to you: (see overleaf for addresses).

To: Guaranty Trust Bank (UK) Limited, 62 Margaret Street, London W1W 8TF, United Kingdom, with reference to your enquiry regarding the above client:

Has the client been met by a representative of the bank? Yes No

How long has the client been a customer of the bank? Years ___ Months ___

Has the Bank obtained a copy of the company's incorporation documents? Yes No

Do any of the details in Section A differ from your records? Yes No

If YES, please indicate: _____

Official Stamp of Bank

Bank's Officer's Name

Bank's Officer's Signature

Date

The Bankers' Reference must not be submitted via the client, but should be sent with a company letterhead directly to us at our offices in London or through one of our Liaison Desks listed below.

Guaranty Trust Bank (UK) Limited
62 Margaret Street
London W1W 8TF

Tel: +44 207 947 9700

Or

Nigeria

Guaranty Trust Bank (UK) Limited
c/o GTBank UK Lagos Liaison Desk
Guaranty Trust Bank Plc
279 Ajoye Adeogun Street
Victoria Island
Lagos, Nigeria

Tel: +23414480917, +2349053991912

Ghana

Guaranty Trust Bank (UK) Limited
c/o GTBank UK Ghana Liaison Desk
Guaranty Trust Bank (Ghana) Limited
16 Lagos Avenue, East Legon
PMB CT 416, Cantonments
Accra, Ghana

Tel: (+233 302) 544313, 544314, Ext. 211

Kenya

Guaranty Trust Bank (UK) Limited
c/o GTBank UK Kenya Liaison Desk
Guaranty Trust Bank (Kenya) Limited
Head Office, Plot 1870 Woodvale Close- Westlands
PO Box 20613-00200
Nairobi, Kenya

Tel: +254-203284000

How to complete this form

- A copy of this form must be completed by each Non-UK resident Director
- Applicants should complete Part A only
- Send the form to your current bank asking them to complete Part B
(your bank should return this form directly to us)

A. This part is to be completed by you

Personal Details

All details supplied must correspond to those given in the Account Opening Form. We request verification of your address from your existing bank, to comply with the UK's Anti-Money Laundering Laws.

Title _____ First Name(s) _____ Surname _____

Date of Birth ____ / ____ / ____ Nationality _____ Male Female
(dd/mm/yyyy)

Address: _____

Post Code: _____ Country: _____

Account Number(s): _____

Type of Account: _____
(Current, Savings, Fixed Deposit, etc.)

Details of Existing Bank

Customer's Bank Name: _____ Address: _____

_____ Post Code _____ Country _____

Sort Code(s) (if applicable): _____

Signature: _____ Date: _____

I authorise Guaranty Trust Bank (UK) Limited to request confirmation of the above and a bankers' reference as requested.

B. This part is to be completed by your bank

This form **must** be returned directly to Guaranty Trust Bank (UK) Limited and not via the client.
The form can also be sent to one of our Liaison Desks closest to you: (see overleaf for addresses).

To: Guaranty Trust Bank (UK) Limited, 62 Margaret Street, London W1W 8TF, United Kingdom, with reference to your enquiry regarding the above client:

Has the client been met by a representative of the bank? Yes No

How long has the client been a customer of the bank? Years ____ Months ____

Has the Bank obtained a copy of the client's passport or similar identification? Yes No

The applicant's current residential address is as given in A? Yes No

If NO, please indicate new address: _____

Do you confirm that the sample signature matches your records? Yes No

Official Stamp of Bank

Bank's Officer's Name

Bank's Officer's Signature

Date

The Bankers' Reference must not be submitted via the client, but should be sent with a company letterhead directly to us at our offices in London or through one of our Liaison Desks listed below.

Guaranty Trust Bank (UK) Limited
62 Margaret Street
London W1W 8TF

Tel: +44 207 947 9700

Or

Nigeria

Guaranty Trust Bank (UK) Limited
c/o GTBank UK Lagos Liaison Desk
Guaranty Trust Bank Plc
279 Ajoye Adeogun Street
Victoria Island
Lagos, Nigeria

Tel: +23414480917, +2349053991912

Ghana

Guaranty Trust Bank (UK) Limited
c/o GTBank UK Ghana Liaison Desk
Guaranty Trust Bank (Ghana) Limited
16 Lagos Avenue, East Legon
PMB CT 416, Cantonments
Accra, Ghana

Tel: (+233 302) 544313, 544314, Ext. 211

Kenya

Guaranty Trust Bank (UK) Limited
c/o GTBank UK Kenya Liaison Desk
Guaranty Trust Bank (Kenya) Limited
Head Office, Plot 1870 Woodvale Close- Westlands
PO Box 20613-00200
Nairobi, Kenya

Tel: +254-203284000

How to complete this form

- A copy of this form must be completed by each Non-UK resident Director
- Applicants should complete Part A only
- Send the form to your current bank asking them to complete Part B
(your bank should return this form directly to us)

A. This part is to be completed by you

Personal Details

All details supplied must correspond to those given in the Account Opening Form. We request verification of your address from your existing bank, to comply with the UK's Anti-Money Laundering Laws.

Title _____ First Name(s) _____ Surname _____

Date of Birth ____ / ____ / ____ Nationality _____ Male Female
(dd/mm/yyyy)

Address: _____

Post Code: _____ Country: _____

Account Number(s): _____

Type of Account: _____
(Current, Savings, Fixed Deposit, etc.)

Details of Existing Bank

Customer's Bank Name: _____ Address: _____

_____ Post Code _____ Country _____

Sort Code(s) (if applicable): _____

Signature: _____ Date: _____

I authorise Guaranty Trust Bank (UK) Limited to request confirmation of the above and a bankers' reference as requested.

B. This part is to be completed by your bank

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If NO, please indicate new address: _____

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Bank's Officer's Name

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How to complete this form

- A copy of this form must be completed by each Non-UK resident Director
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A. This part is to be completed by you

Personal Details

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Title _____ First Name(s) _____ Surname _____

Date of Birth ____ / ____ / ____ Nationality _____ Male Female
(dd/mm/yyyy)

Address: _____

Post Code: _____ Country: _____

Account Number(s): _____

Type of Account: _____
(Current, Savings, Fixed Deposit, etc.)

Details of Existing Bank

Customer's Bank Name: _____ Address: _____

_____ Post Code _____ Country _____

Sort Code(s) (if applicable): _____

Signature: _____ Date: _____

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B. This part is to be completed by your bank

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Bank's Officer's Name

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Nairobi, Kenya

Tel: +254-203284000

1. Account Opening Forms

Account Opening Forms can be submitted in person or sent by post to our offices in London.

Additionally, non-UK residents can send their Account Opening Forms to us through one of our Liaison Desks listed below.

Kindly ensure that copies of documents sent to us are certified (stamped and signed) by a notary public or your current bankers. Please **DO NOT** post original documents to us, Guaranty Trust Bank (UK) Limited will not accept any liability for the loss or damage of original documents sent via post.

2. Bankers' Reference (for Non-UK Residents)

The Bankers' Reference must not be submitted via the client, but should be sent with a company letterhead directly to us at our offices in London or through one of our Liaison Desks listed below.

Guaranty Trust Bank (UK) Limited
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London W1W 8TF

Tel: +44 207 947 9700

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Guaranty Trust Bank (UK) Limited
c/o GTBank UK Lagos Liaison Desk
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Tel: +254-203284000

Guaranty Trust Bank (UK) Limited is authorised by the Prudential Regulation Authority and regulated
by the Financial Conduct Authority and the Prudential Regulation Authority (FRN:466611)
Registered in England and Wales (Company Number: 05969821)
Registered Office: 62 Margaret Street, London W1W 8TF